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S&H Form: PTO/SB/17 (2/01)

<b>RESPONSE TO NOTICE TO FILE MISSING PARTS</b>	Attorney Docket No.	1497.1007
	Application Number	09/995,712
	Filing Date	November 29, 2001
	First Named Inventor	William Randolph ABERNETHY
	Group Art Unit	2152

Title: EVENT TRACKING SYSTEM FOR A BASKET TRADING SYSTEM

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed December 18, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application, which the inventor executed by signing the attached Declaration.
- ☐ Formal Drawings (\_\_\_ Sheets; Figs \_\_\_\_)
- ☐ English-language translation of application (with Translator's Statement (pages \_\_\_))
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- ☐ The filing fee as set forth in 37 C.F.R. § 1.16(a) \$
- ☐ The additional claim(s) fee ( claims over 20) \$
- ☐ The additional independent claim(s) fee ( claims over 3) \$
- ☐ Multiple dependent claims \$
- ☐ Petition for Extension of Time (\_\_\_-month) \$
- ☐ English language translation fee \$
- ☒ Surcharge as set forth in 37 C.F.R. § 1.16(e) \$130.00

**SUBTOTAL FEES:** \$130.00

- ☐ Reduction by 50% for filing by small entity (37 CFR 1.27) .00

**SUBTOTAL FEES:** \$130.00

**TOTAL FEES DUE:** \$130.00

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130.00 DP

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.  
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.


**AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	J. Randall Beckers	Reg. No.	30,358
Signature		Date	2/15/2



#5

S&H Form: (2/01)  
Attorney Docket No. 1497.1007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

William Randolph ABERNETHY

Application No.: 09/995,712

Group Art Unit: 2152

Confirmation No.: 2609

Filed: November 29, 2001

Examiner: To be Assigned

For: EVENT TRACKING SYSTEM FOR A BASKET TRADING SYSTEM

**SUBMISSION OF DECLARATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the rules of the Commissioner of Patents and Trademarks, published at 1035 O.G. 3 (October 4, 1983), the attached Declaration signed by William Randolph ABERNETHY identifies the inventor(s) as William Randolph ABERNETHY, who are the named inventor(s) of the subject application, as concurrently filed, and identifies the title of the invention as EVENT TRACKING SYSTEM FOR A BASKET TRADING SYSTEM, which is the title of the subject application specification, as concurrently filed. The undersigned registered attorney states that the application entitled EVENT TRACKING SYSTEM FOR A BASKET TRADING SYSTEM, concurrently filed herewith is the application which the inventor(s) William Randolph ABERNETHY executed by signing the attached Declaration.

It is requested that the attached Combined Declarations/Powers of Attorney be entered in the file for the above-referenced application and that the application be advanced to examination.

If any payments are required in connection with the filing of this paper, please charge same to our Deposit Account No.19-3935.

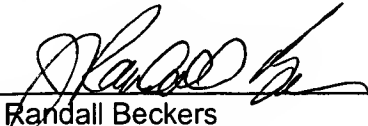
Respectfully submitted,

STAAS & HALSEY LLP

Date:

2/15/12

By:

  
J. Randall Beckers  
Registration No. 30,358

700 11th Street, N.W., Ste. 500  
Washington, D.C. 20001  
(202) 434-1500